投資者問卷 Investor Questionnaire

客戶號碼 Client Account No.:	
安丘姓名 Client(s) Name・	

What is your current age?	第一	一部份 Section A: 財務穩定性 Financial Stability										
What is your annual take-home in tendom in HKD after taxes? ・	1.			18-30	•	31-40		41-50	•	51-60	・60 以上	
How many months of living expenses can your emergency fund cover?	2.			>2 百萬		1-2 百萬		0.5-1 百萬	•	2-5 十萬	・<2十萬	
What percentage of your income goes toward debt repayment?	3.			>12月	•	9-12 月	•	6-9 月	•	3-6 月	・<3月	
・ 十分形定 ・ 九定 ・ 一般 ・ 少童 ・ 没有	4.			<10%	•	10-20%		20-30%	•	30-50%	• >50%	
### How secure is your current income source? #### ###############################	5.	閣下是否擁有可在緊急情況下變現的資產? Do you own assets that can be liquidated in case of an emergency?		十分充足		充足	•	一般	•	少量	没有	
7. 閣下的投資期限是多久? What is your investment time horizon? ・ >10年 ・ 7-10年 ・ 4-6年 ・ 1-3年 ・ <1年	6.			十分穩定		穩定	•	一般	•	不穩	・十分不穩	
Nat is your investment time horizon?	第二部份 Section B: 風險承受能力 Risk Capacity											
What percentage of your portfolio is currently allocated to high-risk investments? 9. 閣下的投資組合價值下降多少百分比會令您感到不安?) What level of portfolio decline would make you uncomfortable? 10. 閣下對年度投資回報的預期是多少? What is your expected annual return from investments? 11. 閣下在市場下跌時有多大準備投入額外資金? How prepared are you to invest additional funds during market downturns? 12. 閣下對短期損失以換取長期收益的接受程度如? How comfortable are you with short-term losses in exchange for long-term gains? 13. 閣下是否更重視資本安全而非潛在回報? Do you prefer capital safety over potential returns? 14. 閣下對於投資複雜產品如衍生品或結構性票據的感受如何? How do you feel about investing in complex products like derivatives or structured notes?	7.			>10年	•	7-10年		4-6年	•	1-3年	・<1 年	
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What is your expected annual return from investments? 1. 閻下在市場下跌時有多大準備投入額外資金? How prepared are you to invest additional funds during market downturns? 第三部份 Section C: 風險承受程度 Risk Tolerance 12. 閻下對短期損失以換取長期收益的接受程度如? How comfortable are you with short-term losses in exchange for long-term gains? 13. 閻下是否更重視資本安全而非潛在回報? Do you prefer capital safety over potential returns? 14. 閻下對於投資複雜產品如衍生品或結構性票據的感受如何? How do you feel about investing in complex products like derivatives or structured notes?	9.	What level of portfolio decline would make you		>30%	•	20-30%		10-20%	•	5-10%	· <5%	
How prepared are you to invest additional funds during market downturns? ### · ### · ### · ### · ### · ### · ### · ### · ### · ### · ### · ### · ### · ### · #### · #### · #### · #### · #### · #### · #### · #### · #### · ######	10.			>15%	•	10-15%		7-10%	•	5-7%	· <5%	
12. 閣下對短期損失以換取長期收益的接受程度如?	11.	How prepared are you to invest additional funds during		十分準備	•	準備	•	一般	•	少量準備	・沒準備	
How comfortable are you with short-term losses in exchange for long-term gains? 13. 閣下是否更重視資本安全而非潛在回報? Do you prefer capital safety over potential returns? 14. 閣下對於投資複雜產品如衍生品或結構性票據的感受如何? How do you feel about investing in complex products like derivatives or structured notes? 15. 閣下多頻繁地監控或檢視您的投資?	第三	E部份 Section C: 風險承受程度 Risk Tolerance										
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How do you feel about investing in complex products like derivatives or structured notes? • 十分接受 • 接受 • 一般 • 不接受 • 極不接受 • 極不	13.		•	十分同意		同意	•	一般	•	不同意	• 極不同意	
	14.	How do you feel about investing in complex products like		十分接受		接受		一般	•	不接受	・極不接受	
		How frequently do you monitor or review your investments?		每日		每週	•	每月		每年	・從不	
16. 當市場波動時,閣下如何應對? How do you handle volatile markets? • 大手入市 • 小量入市 • 靜觀其變 • 減少投資 • 完全撤出	16.		•	大手入市		小量入市		靜觀其變	•	減少投資	• 完全撤出	

聲明與簽署 Declaration and Signature(s)

- 本人/我們確認,所提供的問卷資料均屬真確無誤。本人/我們確認已收妥《風險披露聲明》,並進一步確認理解當中內容。
- I/We confirm that the information provided in this questionnaire is accurate as of the moment of provision. I/We further confirm that I/We have received and understood the "Risk Disclosure Statement."

客戶簽署 Client Signature(s) 赵 日期 Date:

評分與最終評估總分:_____